

# TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

Application Number	09/966,552
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<b>Filing Date</b>	<b>September 26, 2001</b>
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First Named Inventor	Medvinsky, Alexander
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Art Unit	2131
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Examiner Name

Total Number of Pages in This Submission

 $4 + 2$ 

Attorney Docket Number


018926-007800US

~~Technology Center 2100~~

**ENCLOSURES** (Check all that apply)


<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB/08A and 08B, 2 references, Return Postcard				
	<table border="1"> <thead> <tr> <th data-bbox="568 863 763 934">Remarks</th> <th data-bbox="763 863 1023 934"></th> </tr> </thead> <tbody> <tr> <td data-bbox="568 934 763 1247"></td> <td data-bbox="763 934 1023 1247">           The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.         </td> </tr> </tbody> </table>	Remarks			The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	
Remarks						
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Townsend and Townsend and Crew LLP Horace H. Ng	Reg. No. 39,315
Signature		
Date	10/1/03	

## CERTIFICATE OF TRANSMISSION/MAILING

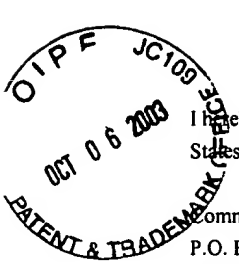
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Signature		Date	Oct-2, 2003

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On

OCT. 2, 2003

TOWNSEND and TOWNSEND and CREW LLP

By:

Julie Taylor Cough

PATENT  
Attorney Docket No.: 018926-007800US  
Client Reference No.: D2631

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Alexander Medvinsky, et al.

Application No.: 09/966,552

Filed: September 26, 2001

For: UNIQUE ON-LINE  
PROVISIONING OF USER  
TERMINALS ALLOWING USER  
AUTHENTICATION

Examiner:

Art Unit: 2131

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

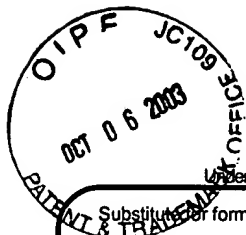
However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'H. Ng', with a long horizontal line extending to the right.

Horace H. Ng  
Reg. No. 39,315

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 415-576-0200  
Fax: 415-576-0300  
HHN:jtc  
60010775 v1



Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Page **1** of **2**

### Complete if Known

Application Number	09/966,552
Filing Date	September 26, 2001
First Named Inventor	Zhang, WeiMin, et. al.
Art Unit	2131
Examiner Name	
Attorney Docket Number	018926-007800US

### U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	AA	US-6,189,146 B1	02-13-2001	Misra, et al.	
	AB	US-			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
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### FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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PTO/SB/08B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/966,552		
		Filing Date	September 26, 2001		
		First Named Inventor	Zhang, WeiMin, et. al.		
		Art Unit	2131		
		Examiner Name			
Page	2	of	2	Attorney Docket Number	018926-007800US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BC	AURA, THOMAS, "Distributed Access-Rights Management with Delegation Certificates," Appeared in Secure Internet Programming (LNCS 1603) 1999, pp. 211-235.	
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